September 27, 2007

Oak Ridge Associated Universities
Attn: Mr. Ivan Boatner, General Counsel
P.O. Box 117
Oak Ridge, Tennessee 37831

SUBJECT: CONTRACT NO. DE-AC05-06OR23100, MODIFICATION M032

Dear Mr. Boatner:

Enclosed is one fully executed copy of the subject document. This modification incorporates RCN ORAU-07 into the contract, replacing Section J, Attachment D as well as updating Key Personnel, Section J, Attachment F. If you have any questions regarding this action, you may contact me at 576-0783 or via e-mail at holtbi@oro.doe.gov.

Beth L. Holt
Contract Specialist
Contracts and Property Management Branch
Procurement and Contracts Division

Enclosure
### AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

<table>
<thead>
<tr>
<th>2. AMENDMENT/MODIFICATION NO.</th>
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<td>4. REQUISITION/PURCHASE REQ. NO.</td>
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<td>5. PROJECT NO. (If applicable)</td>
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6. ISSUED BY CODE

- U.S. Department of Energy
  - Oak Ridge Office
  - Procurement and Contracts Division
  - ATTN: Beth L. Holt
  - P.O. Box 201
  - Oak Ridge, TN 37831

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)

- Oak Ridge Associated Universities
  - P.O. Box 117
  - Oak Ridge, TN 37831

<table>
<thead>
<tr>
<th>CODE</th>
<th>FACILITY CODE</th>
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9. AMENDMENT OF SOLICITATION NO.

- 9A. AMENDMENT OF SOLICITATION NO.
- 9B. DATED (SEE ITEM 11)
- 10A. MODIFICATION OF CONTRACT/ORDER NO.
- 10B. DATED (SEE ITEM 13)

December 21, 2005

10. MODIFICATION OF CONTRACT/ORDER NO.

- DE-AC05-06OR21300

11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS

☐ The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers ☐ is extended, ☐ is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods:

(a) By completing Items 8 and 15, and returning ______ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA

Amount Obligated:

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE

- A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority)
  - THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.

- B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).

- C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
  - P.L. 95-91; Mutual Agreement

- D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor ☐ is not, ☒ is required to sign this document and return 3 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Page 2

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)

- Ivan A. Baxter
  - General Counsel

15B. CONTRACT/OFFEROR

BY (Signature of person authorized to sign)

15C. DATE SIGNED

9/25/07

16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)

- Barbara J. Jackson, Contracting Officer

16B. UNITED STATES OF AMERICA

BY (Signature of Contracting Officer)

16C. DATE SIGNED

9/27/07

NSN 7540-01-152-8070

Previous edition unusable

STANDARD FORM 30 (REV. 10-43)
Prescribed by GSA FAR (48 CFR 53.243)
14. Description of Amendment/Modification:

The purpose of this modification is to accomplish the following:

1) Delete Section J, Attachment D, DOE Directives (List B), RCN-ORAU-06 (19 pages) in its entirety and substitute in lieu thereof Attachment 1 to this modification, Requirements Change Notice ORAU-07 (19 pages).

2) Delete Section J, Attachment F, Key Personnel, in its entirety and substitute in lieu thereof Attachment 2 to this modification, Section J, Attachment F, Key Personnel (Revised 09/24/07), which removes Gail H. Singh and substitutes J. Phil Andrews as Deputy ORISE Director for Operations.

**Contractor's Statement of Release**

In consideration of the modification agreed to herein as a complete and equitable adjustment, the Contractor hereby releases the Government from any and all liability under this contract for further equitable adjustments attributable to changes implemented as a result of this modification. There is no increase or decrease in the estimated cost of, or time required for performance of any part of the work under this contract.

All other terms and conditions remain unchanged.
**U.S. Department of Energy**  
**REQUIREMENTS CHANGE NOTICE**

**PROJECT:**  
Contract Baseline Documentation

**LOCATION:**  
Oak Ridge, Tennessee

**CONTRACTOR:**  
Oak Ridge Associated Universities (ORAU)

**DATE OF CONTRACT:**  
January 1, 2006

**CONTRACT NO.:**  
DE-AC05-06OR23100, I.105, Laws, Regulations, and DOE Directives, DEAR 970.5204-2

This Requirements Change Notice (RCN) No. ORAU-07 incorporates into Section J, Attachment D, of Contract No. DE-AC05-06OR23100, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&H) requirements. Information regarding ES&H-related directives and their applicability can only be obtained from the WSS set. ES&H-related directives in the WSS set are italicized in Section J, Attachment D. Changes to Section J, Attachment D, are indicated by bold type. Below is a list of the directives incorporated herein:

### ADDITIONS:

<table>
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<th>Notes</th>
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<td>DOE O 226.1A</td>
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<td>DOE P 226.1A</td>
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<td>DOE M 231.1-1A, Change 2</td>
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<td>DOE M 470.4-4, Change 1</td>
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<td>ORO O 130, Chapter II, Change 5</td>
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<td>ORO O 220, Chapter II, Change 5</td>
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<td>ORO O 220, Chapter VII, Change 5</td>
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<td>ORO O 470, Chapter IX, Change 5</td>
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<td>DOE N 206.3</td>
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<td>DOE O 226.1</td>
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<td>DOE P 226.1</td>
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<td>ORO O 220, Chapter VII, Change 4</td>
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### UPDATES:

Work Smart Standards Set, Revision 29

**DATE:**  
9/19/07

**Signature:**  
S. A. Mills
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# OUTLINE OF CHANGES

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**ATTACHMENT D**

**BASELINE LIST OF REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

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### DOE DIRECTIVES

DOE directives may be found at the following Internet address:

http://www.directives.doe.gov/

<table>
<thead>
<tr>
<th>REQUIRED COMPLIANCE DOCUMENT</th>
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<td>DOE O 137.1A</td>
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<td>PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS</td>
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# ATTACHMENT D

## BASELINE LIST OF REQUIRED COMPLIANCE DOCUMENTS

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<table>
<thead>
<tr>
<th>REQUIRED COMPLIANCE DOCUMENT</th>
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## ATTACHMENT D

### BASELINE LIST OF
### REQUIRED COMPLIANCE DOCUMENTS

#### List B - List of Applicable Directives

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<tr>
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http://www.ornl.gov/doe_oro_dmg/oro_dir.htm

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### WORK SMART STANDARDS (WSS) SET

WSS Set may be found at:
http://www.orau.gov/orise/wss.htm

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### FOOTNOTES:

1. This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.

2. The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.

3. This document is ES&H-related and appears on the current Work Smart Standards Set. In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.

4. The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.
# PART III
LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS
SECTION J - LIST OF ATTACHMENTS

ATTACHMENT F - KEY PERSONNEL
(Revised 09/24/07)

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<thead>
<tr>
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<tr>
<td>Ronald D. Townsend</td>
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<td>J. Phil Andrews</td>
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<tr>
<td>Donna L. Cragle</td>
<td>Director, Illness and Injury Surveillance</td>
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<td>Eric W. Abelquist</td>
<td>Director, Independent Environmental</td>
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<td>Albert L. Wiley</td>
<td>Director, Radiation Accident Management</td>
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<td>Harry A. (Andy) Page</td>
<td>Director, National Security and Emergency</td>
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<tr>
<td>Marcus A. Weseman</td>
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