



U.S. Department of
Homeland Security

National Incident Management System and National Response Plan

HSPD-5 Implementation

Purpose

Discuss the implementation of HSPD-5 through the development and implementation of three core documents:

- Initial National Response Plan (INRP)
- National Incident Management System (NIMS)
- National Response Plan (NRP)

HSPD-5: Management of Domestic Incidents

HSPD-5 Objectives:

- Single comprehensive national approach
 - Prevention, Preparedness, Response and Recovery
- Ensure all levels of government work together
 - National Incident Management System
 - Horizontal and vertical integration
 - Effective Communications
- Ensure coordination with private and non-governmental sectors
- Integrate crisis and consequence management into a unified approach
- DHS Secretary as the principal Federal official for domestic incident management



HSPD-5 Implementation

Develop and administer:

- **National Incident Management System (NIMS)**
 - Core set of concepts, principles and terminology for incident command and multi-agency coordination
- **National Response Plan (NRP)**
 - All-discipline, all-hazards plan
 - **Initial National Response Plan (INRP)** created as an interim plan until the publication of the full NRP



Development of INRP, NIMS & NRP

- **Development Process**

- Interagency Writing Team
- Aided by interagency and nongovernmental stakeholder groups:
 - Emergency Support Function Leaders Group
 - National Response Team
 - State and Local Review
 - Private Sector Review
- Guidance from Homeland Security Council Policy Coordination Committee



Development Process

■ Outreach

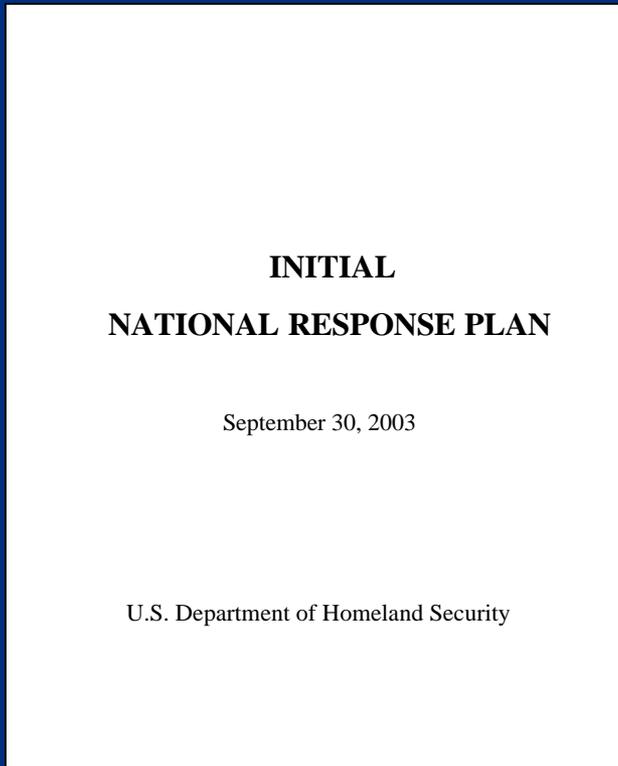
- Keep stakeholders informed of developments and process for interagency review and feedback
 - National venues (e.g., National Hazmat Conferences, etc.)
 - Regional venues (e.g., Regional Response Team meetings with regional and State participants)

■ Incorporation of Lessons Learned from exercises and actual events:

- WTC & Pentagon Attacks (Sept 2001)
- Anthrax attacks (Oct 2001)
- Operation Liberty Shield (March 2003)
- Eastern U.S. Power Blackout (Aug 2003)
- Hurricane Isabel (Sept 2003)
- TOPOFF 2 (May 2003)
- Determined Promise '03 (Aug 2003)
- Holiday Threat Alert (Dec 03)
- Ricin Incident (Feb 04)



Initial NRP



- Issued September 30, 2003
- Interim Plan - Bridging document to full NRP
 - Uses existing plans (FRP, NCP, CONPLAN, etc.)
 - Harmonizes existing operational processes, procedures and protocols
- Defines DHS elements
 - Principal Federal Official (PFO)
 - Interagency Incident Management Group (IIMG)
 - Homeland Security Operations Center (HSOC)
 - Joint Field Office (JFO)
- Requires specific modifications to existing plans

National Incident Management System

NATIONAL INCIDENT MANAGEMENT SYSTEM

March 1, 2004



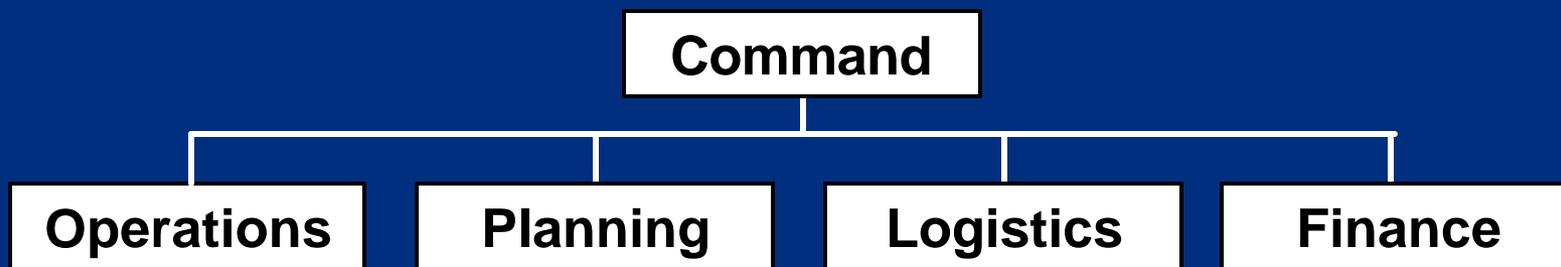
Homeland
Security

- Issued March 1, 2004
- Provides the national standard for incident management
- Based on the National Interagency Incident Management System (NIIMS) Incident Command System (ICS)
- **Major components:**
 - Incident Command and Management
 - Preparedness
 - Resource Management
 - Communications and Information Management
 - Supporting Technologies
 - Ongoing Management and Maintenance



Command & Management

- **Incident Command System (ICS)**: management system designed to integrate resources from numerous organizations into a single response structure using common terminology and common processes
- Incident management activities organized under five functions:



- **Unified Command** incorporates Federal, State, Tribal, Local and non-governmental entities with overlapping jurisdiction and incident management responsibilities

Other Components

■ Preparedness

- Continuous cycle of planning, training, equipping, exercising, evaluating and taking corrective action
 - Preparedness Planning
 - Training & Exercises
 - Personnel Qualification and Certification
 - Equipment Certification
 - Mutual Aid Agreements
 - Publication Management

■ Resource Management

- Uniform method of identifying, acquiring, allocating and tracking resources
 - Standardized classification of types of resources
 - Mutual aid and donor assistance



Other Components

- **Communications & Information Management**
 - Common operating picture
 - Common communications and data standards
- **Supporting Technologies**
 - Technology and systems to provide supporting capabilities essential to implementing NIMS
 - Operational scientific support
 - Technical standards
 - R&D to solve operational problems

NIMS Integration Center

Development and maintenance of:

- National-level training standards and course curricula
- Materials supporting NIMS implementation (training modules, job aids, etc.)
- Documentation and database system for qualification, certification and credentialing of incident management personnel and responders
- System related to standards for performance, compatibility and interoperability of equipment
- Repository for lessons learned
- Standards, guidelines and protocols for determining whether Federal, State, local or tribal entities are in compliance with NIMS

Construction of the NRP

Guiding Policy: Homeland Security Act & HSPD-5

Supersedes

- Federal Response Plan
- Domestic Terrorism Concept of Ops Plan
- Federal Radiological Emergency Response Plan
- INRP

Integrates

- Other national-level contingency plans

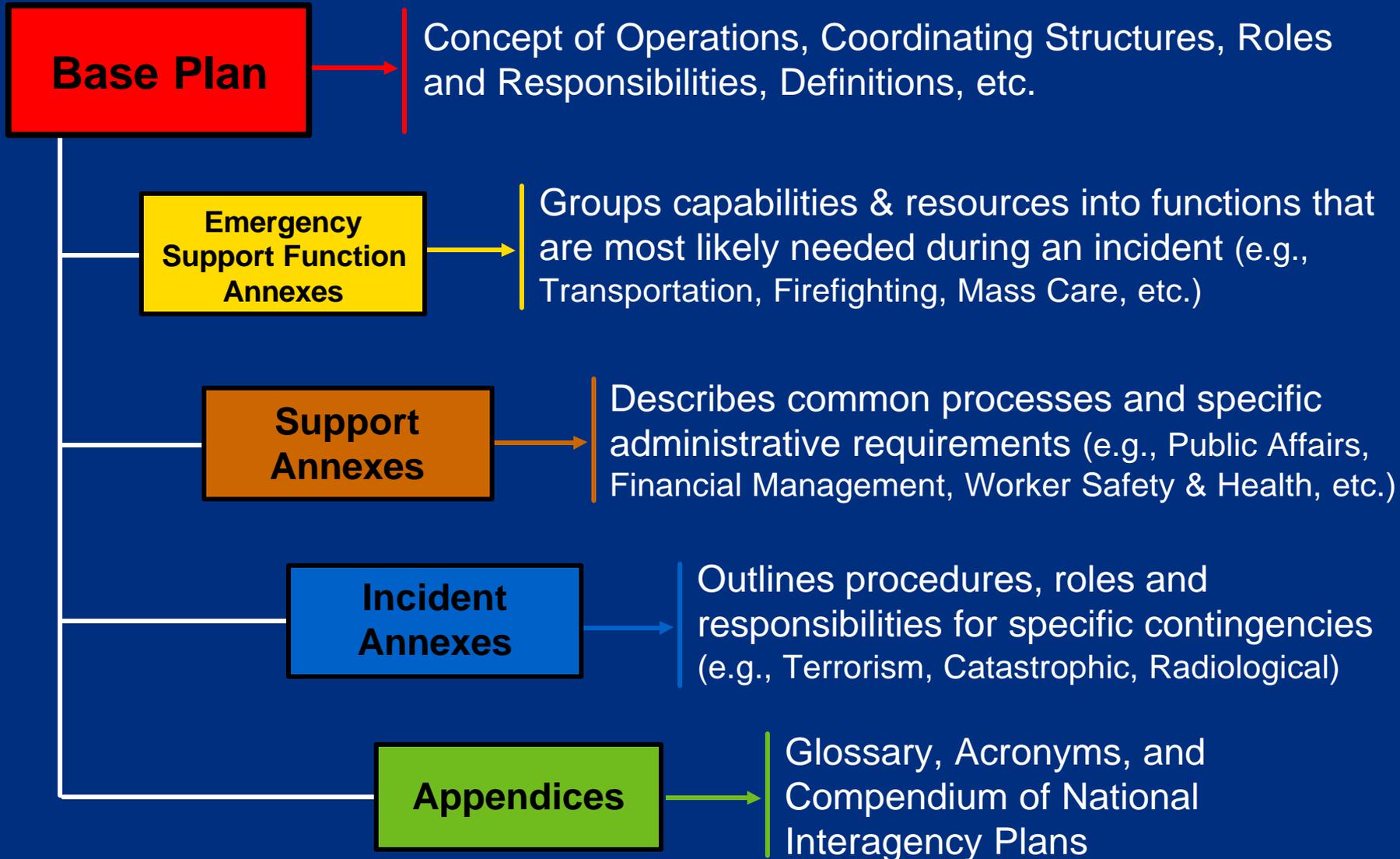
National Response Plan



Incorporates key concepts

- NIMS
- HSOC
- IIMG
- PFO
- JFO
- ESFs

Organization of the NRP



Scope/Applicability

Incidents of National Significance:

- Credible threats, indications or acts of **terrorism** within the U.S.
- **Major disasters or emergencies** (as defined by the Stafford Act)
- **Catastrophic incidents**
- **Unique situations** that may require DHS coordination:
 - When a Federal department or agency has requested DHS assistance
 - When the Secretary has been directed by the President
 - Events involving one or more Federal agencies (at the discretion of the Secretary of DHS)
 - National Special Security Events



Concept of Operations

- Single national framework for various Federal roles:
 - Direct implementation of Federal authorities
 - Federal to State support
 - Federal to Federal support
 - Pro-active response to catastrophic incidents
- Incidents handled at lowest possible organizational level
- DHS receives notification of incidents and potential incidents, assesses regional or national implications and determines need for DHS coordination
- DHS operational and/or resource coordination for **Incidents of National Significance**



NRP Coordination



NIMS Role

Multiagency Coordination Entity

- Incident prioritization and resource allocation
- Focal point for issue resolution



Support and Coordination

- Identifying resource shortages & issues
- Gathering and providing information
- Implementing MAC Entity decisions



Incident Command

- Directing on-scene emergency management

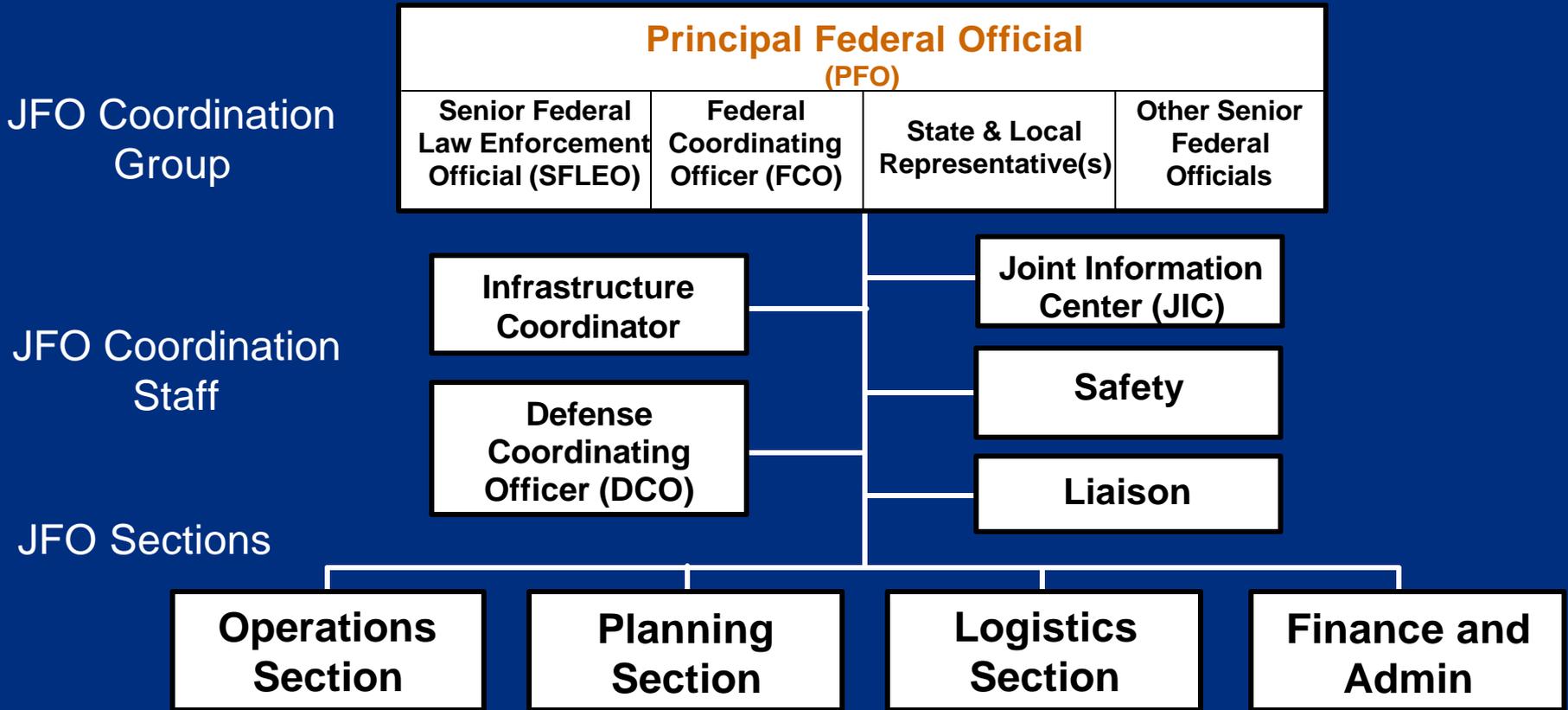


An **Area Command** is established as needed due to the complexity or number of incidents.

Role of regional components varies depending on scope and magnitude of the incident.

The NRP includes slight variations of the base structure for terrorism response and Federal-to-Federal Support

Joint Field Office



Integrates traditional JOC and DFO functions

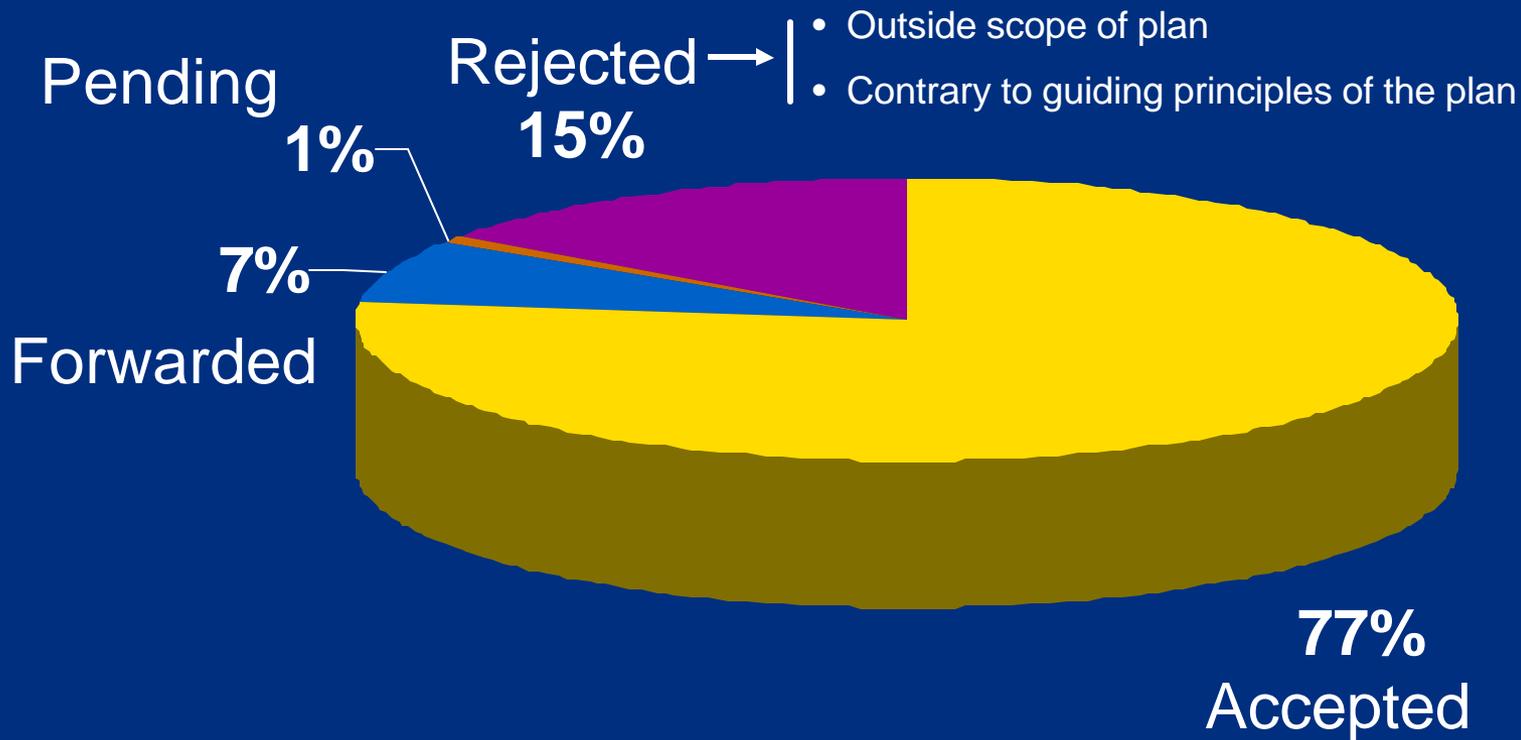
NRP Draft #1 Comments

- DRAFT #1 NRP released for review Feb 25, 2004
- 2290 comments received on base plan

<p>Federal</p>	<p>DHS, FEMA, IAIP, S&T, I-STAFF, HSOC, USCG, USSS, USDA, DOC, DOD, DOE, DHHS, HUD, DOI, DOJ, FBI, DOL, GSA, OSHA, DOS, DOT, Treasury, VA, EPA, NASA, NRC, OPM, SBA, USPC, HSC, NRT</p>
<p>State and Local</p>	<p>NEMA, IAEM, IAFC, ASTHO, NASEMSD, Arizona HS & EM, Texas DEM and Harris County, TX, Connecticut OEM, Kansas City PD, New York State Office of Public Safety, Washington SEMD</p>
<p>Private Sector and NGOs</p>	<p>American Petroleum Industry, American Chemical Council, Business Executives for National Security, Boeing, US Chamber of Commerce American Red Cross</p>

Action on Draft #1 Comments

2290 Total Comments on Base Plan



Stakeholder feedback crucial in development of **Draft #2** – which was released April 28, 2004 for interagency review



Annex Development

ESF Annexes

- ✓ 1
- 2 Partial
- ✓ 3
- ✓ 4
- 5 Partial
- ✓ 6
- ✓ 7
- ✓ 9
- ✓ 10
- ✓ 11
- ✓ 12
- 13 SL support
- ✓ 14
- ✓ 15

Support Annexes

- ✓ Worker Safety
- ✓ Private Sector
Financial Mgmt
- ✓ Logistics
- ✓ Public Affairs
- ✓ Donations Mgmt
- ✓ International
- ✓ S&T
- ✓ Tribal Affairs
- ✓ Insular Affairs

Incident Annexes

- ✓ Catastrophic Incident
- ✓ Hazmat
- ✓ Nuclear/Rad
- ✓ Biological
- ✓ Terrorism
Food Security
- ✓ Cyber

Timeline

- April 2004 ✓ **Draft #2, NRP** base plan for comment
Draft Annexes to follow for comment
- June 2004 **Final Draft NRP** with annexes
Formal interagency review/concurrence
NRP to HSC for approval
- July 2004 **NRP** Final