

# National Incident Management System

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April 2006



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# HSPD-5

## National Incident Management System (NIMS)

- A consistent nationwide approach for all levels of government to work effectively and efficiently together to prepare for and respond to domestic incidents
- Core set of concepts, principles and terminology for incident command and multi-agency coordination
- **National Response Plan (NRP)**
  - Provides the structure and mechanisms for a comprehensive nationwide approach to domestic incident management
  - Applicable to all federal departments and agencies that may be involved in responding to an Incident of National Significance.
  - Plan under review following 2005 Hurricane season.
- Requires all Federal Departments and Agencies to adopt the NIMS and the NRP
- Requires state and local NIMS compliance as a condition for Federal preparedness funding assistance



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# Key Concepts / Ongoing Support

- **Flexibility**
  - Applicable regardless of incident cause, size, location, or complexity.
- **Standardization**
  - Key to interoperability
  - Working on Standards initiative with Office of Science and Technology.
- **Ongoing support: NIMS Integration Center**
  - Working with Homeland Security Advisory Council structure to create NIC Advisory Council.



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# Implementation and Compliance

Fiscal Year	State and Local Implementation Requirements	Certification Process
FY 2005	<p>States and territories must meet minimum FY 05 NIMS implementation requirements;</p> <p>Tribal and local governments encouraged to start implementing the NIMS. State certification is required to receive FY 2006 preparedness funds.</p>	<p>Self Certification: the state, taken as a whole, has met the minimum FY 2005 requirements.</p>
FY 2006	<p>States, territories, tribes and local governments <u>must</u> meet the FY 06 NIMS implementation requirements. State certification is required to receive FY 2007 preparedness funds.</p>	<p>Self Certification: the State (including its tribal and local jurisdictions) taken as a whole has met the minimum FY 2006 requirements.</p>
FY 2007 and out-years	<p>Full NIMS compliance is required for all federal preparedness funds.</p> <p>States, territories, tribes and local governments continue to implement the NIMS and meet additional requirements to ensure the continued success of the NIMS.</p>	<p>Certification of ongoing NIMS compliance requirements.</p> <p>NIMS compliance continues to be required to receive federal preparedness funds.</p>



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# Certification

- “Taken as a whole” recognizes that not every community or individual responder will have completed all of the requirements. The “taken as a whole” standard means that most have and that good faith efforts are underway to achieve full compliance.
- FY 2006 is the last year for self certification.
  - **In 07 and beyond: Annual systematic monitoring will occur.**
  - **07 requirements have not yet been established.**



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# Five Top Priorities for NIC

- Build strong relationships and a unified compliance program with the Federal partners.
- Continue to collaborate with the DHS Office of Grants and Training on NIMS compliance monitoring.
- Create compliance products for Federal, State and local government.
- Develop metrics and continue support of the NIMCAST through Eastern Kentucky University.
- Continue development of standards, training, resource typing, and credentials.



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# Development of Compliance Metrics

- Working with the Homeland Security Institute
- Working with Eastern Kentucky University on a cooperative Agreement
- Establishing Technologies
  - NIMSCAST
  - OG&T Assessment Review
  - EKU Dashboard
- Establishing Protocols with other Federal Agencies
  - HHS
  - DHS Grants Council
  - DOE
  - DOD



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# Monitoring – Technical Assistance

- Memorandum in place to have the OG&T conduct annual monitoring for NIMS compliance.
- Creating formal Memorandum of Understanding between the NIC and the OG&T.
- Technical Assistance Teams – OG&T/FEMA Regional offices.
- Inclusion of NIMS compliance monitoring into OG&T monitoring guidance beginning on March 30, 2006.



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# Compliance Program Products

- Developing fact sheets and white papers that both illuminate, and set in place, compliance policy for State and Local governments;
- Establishing a filing system/data archival system for sensitive compliance documents (i.e. compliance certification) ;
- Facilitating the development of web based tools that will allow users to develop NIMS compliant programs (EOP's, SOP's, exercises and training...)



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# Baseline Capability

- Putting numbers (metrics) on the compliance expectations (i.e. review training records);
- Continued maintenance and development of the NIMCAST;
- Synthesis of existing assessments to evaluate NIMS implementation at the State and local level.



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# Training: Who needs to take what?

- *IS-700 NIMS: An Introduction*
  - All personnel with a direct role in emergency preparedness, incident management, or response
- *IS-800 NRP: An Introduction*
  - All Federal, state, territorial, tribal, and local emergency managers or personnel whose primary responsibility is emergency management
- *ICS-100: Introduction to ICS*
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the entry level, first line supervisor level, middle management level, and command and general staff level of emergency management operations
- *ICS-200: Basic ICS*
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the first line supervisor level, middle management level, and command and general staff level of emergency management operations



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# Compliance Assistance

- Support NIMS implementation through (continued) :
  - NIMS Resource Information Management System (help local governments store 120 typed resources)
  - Coordination with Health and Medical Community
  - Updates Suite of Guidance Documents (OG&T/NIC)
- NIMS Communications
  - NIMS Alerts
  - NIMS Frequently Asked Questions
  - NIMS Web Site: [www.fema.gov/nims](http://www.fema.gov/nims)



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# The NIMS Integration Center

- Web Page: [www.fema.gov/nims](http://www.fema.gov/nims)
- E-Mail: [NIMS-Integration-Center@dhs.gov](mailto:NIMS-Integration-Center@dhs.gov)
- NIMS Training: <http://training.fema.gov/emiweb/IS/is700.asp>
- Main Number: 202-646-3850
- Mailing Address: NIMS Integration Center, 500 C Street SW,  
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