

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	PAGE OF PAGES 1 7	
2. AMENDMENT/MODIFICATION NO. 0028	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)	
6. ISSUED BY U.S. Department of Energy ORNL Site Office P.O. Box 2008 Oak Ridge TN 37831	CODE 00516	7. ADMINISTERED BY (If other than Item 6)	CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) OAK RIDGE ASSOCIATED UNIVERSITIES, INCORPORATED Attn: Matt Albert P.O. BOX 117 OAK RIDGE TN 37831		(x)	9A. AMENDMENT OF SOLICITATION NO.	
CODE 041152224 FACILITY CODE		x	9B. DATED (SEE ITEM 11)	
			10A. MODIFICATION OF CONTRACT/ORDER NO. DE-SC0014664	
			10B. DATED (SEE ITEM 13) 03/10/2016	
<b>11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS</b>				
<input type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.				
12. ACCOUNTING AND APPROPRIATION DATA (If required) See Schedule				
<b>13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.</b>				
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.			
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).			
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:			
X	D. OTHER (Specify type of modification and authority) In accordance with DEAR 970.5204-2 Laws, Regulations, and DOE Directives (DEC 2000) and P.L. 95-91			
E. IMPORTANT: Contractor <input checked="" type="checkbox"/> is not. <input type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.				
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) Technical Services for Management of the Oak Ridge Institute for Science and Education. The purpose of this modification is to update and revise Section J - List of Attachments as follows:				
A. Attachment D.2 - DOE Directives (List B) List of Applicable Directives. Original Contract Document Attachment D.2 - DOE Directives (List B) List of Applicable Directives is hereby deleted in its entirety, and the attached RCN ORAU-003, dated December 28, 2016 is hereby incorporated into the contract.				
B. All other terms and conditions remain unchanged.				
Continued ...				
Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.				
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)		
		Kenneth Lowell Kimbrough		
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED	
(Signature of person authorized to sign)		Signature on File	01/31/2017	
		(Signature of Contracting Officer)		

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED  
DE-SC0014664/0028

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NAME OF OFFEROR OR CONTRACTOR  
OAK RIDGE ASSOCIATED UNIVERSITIES, INCORPORATED

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	Payment :				

Attachment D  
Baseline List of  
Required Compliance Documents

List B - List of Applicable Directives

DOE Directives may be found at the following address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 130.1	09/29/1995	Budget Formulation		
DOE O 137.1A	08/30/1999	Plan for Operating in the Event of a Lapse in Appropriations		
DOE O 142.3A	10/14/2010	Unclassified Foreign Visits and Assignments Program		
DOE O 150.1A	03/31/2014	Continuity Programs		
<b>DOE O 151.1D</b>	<b>08/11/2016</b>	<b>Comprehensive Emergency Management System</b>		
DOE O 153.1	06/27/2007	Departmental Radiological Emergency Response Assets		Footnote (4)
DOE O 200.1A	12/23/2008	Information Technology Management		
DOE M 200.1-1	03/10/1997	Telecommunications Security Manual (Official Use Only)		
DOE O 205.1B Administrative Change 2	03/11/2013	Department of Energy Cyber Security Program		
DOE O 206.1	01/16/2009	Department of Energy Privacy Program		
DOE O 206.2	02/19/2013	Identity, Credential, and Access Management (ICAM)		
DOE O 210.2A	04/08/2011	DOE Corporate Operating Experience Program		
DOE O 221.1A	04/19/2008	Reporting Fraud, Waste and Abuse to the Office of Inspector General		
DOE O 221.2A	02/25/2008	Cooperation with the Office of Inspector General		
DOE O 221.3	12/17/2001	Establishment of Management Decisions on Office of Inspector General Reports		
DOE O 225.1B	03/04/2011	Accident Investigations		
DOE O 227.1	08/30/2011	Independent Oversight Program		
DOE O 231.1B Administrative Change 1	11/28/2012	Environment, Safety and Health Reporting		
DOE O 232.2 Administrative Change 1	03/12/2014	Occurrence Reporting and Processing of Operations Information		
<b>DOE O 241.1B Administrative Change 1</b>	<b>04/26/2015</b>	<b>Scientific and Technical Information Management</b>		

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DOE O 243.1B, Administrative Change 1	07/08/2013	Records Management Program		
Compliance Line: Implementation Plan, approved by DOE on 06/26/2012.				
DOE O 252.1A, Administrative Change 1	03/12/2013	Technical Standards Program		
DOE O 350.1, Change 5	09/30/2014	Contractor Human Resource Management Programs		
DOE O 410.2, Administrative Change 1	04/10/2014	Management of Nuclear Materials		Footnote (2)
DOE O 412.1	04/20/1999	Work Authorization System		
DOE O 413.1B	10/28/2008	Internal Control Program		Footnote (4)
<b>DOE O 413.3B Change 2</b>	<b>05/12/2016</b>	<b>Program and Project Management for the Acquisition of Capital Assets</b>		
DOE O 414.1D Administrative 1	05/08/2013	Quality Assurance		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 420.1C	12/04/2012	Facility Safety		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 430.1B, Change 2	04/25/2011	Real Property Asset Management		
DOE O 435.1, Change 1	08/28/2001	Radioactive Waste Management		ES&H-related Directive included in WSS. See Footnote (3).
DOE M 435.1-1, Administrative Change 2	06/08/2011	Radioactive Waste Management Manual		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 436.1	05/02/2011	Departmental Sustainability		
DOE M 440.1-1A	01/09/2006	DOE Explosives Safety Manual		ES&H-related Directives included in WSS. See Footnote (3).
DOE O 440.2C, Administrative Change 1	06/22/2011	Aviation Management and Safety		ES&H-related Directive Included in WSS. See Footnote (1).
DOE O 442.1A	06/06/2001	Department of Energy Employee Concerns Program		
DOE O 442.2	07/29/2011	Differing Professional Opinions for Technical Issues Involving Environmental, Safety and Health Technical Concerns		
<b>DOE O 443.1B, Change 1</b>	<b>04/21/2016</b>	<b>Protection of Human Research Subjects</b>		

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DOE P 450.7	08/02/2004	Department of Energy Environment, Safety and Health (ES&H) Goals		
DOE O 451.1B, Change 1	09/28/2001	National Environmental Policy Act Compliance Program		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 452.7	05/14/2010	Protection of Use Control Vulnerabilities and Designs		
DOE O 458.1, Administrative Change 3	01/15/2013	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 460.1C	05/14/2010	Packaging and Transportation Safety		ES&H-related Directive included in WSS. See Footnote (1).
DOE O 460.2A	12/22/2004	Departmental Materials Transportation and Packaging Management		
DOE M 460.2-1A	06/04/2008	Radioactive Material Transportation Practices Manual for Use With DOE O 460.2A		Footnote (2).
DOE P 470.1A	12/29/2010	Safeguards and Security Program		
DOE O 470.3B	08/12/2008	Graded Security Protection (GSP) Policy		
DOE O 470.4B, Administrative Change 1	02/15/2013	Safeguards and Security Program		
DOE O 470.5	06/02/2014	Insider Threat Program		
DOE O 470.6	09/02/2015	Technical Security Program		
DOE O 471.1B	03/01/2010	Identification and Protection of Unclassified Controlled Nuclear Information		
DOE M 471.3-1, Administrative Change 1	01/13/2011	Manual for Identifying and Protecting Official Use Only Information		
DOE O 471.3, Administrative Change 1	01/13/2011	Identifying and Protecting Official Use Only Information		
DOE O 471.6, Administrative Change 1	11/23/2012	Information Security		
DOE O 472.2, Change 1	07/09/2014	Personnel Security		
DOE O 473.3A	03/23/2016	Protection Program Operations		
DOE O 474.2, Administrative Change 2	11/19/2012	Nuclear Material Control and Accountability		
DOE O 475.1	12/10/2004	Counterintelligence Program		
DOE O 475.2B	10/03/2014	Identifying Classified Information		

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DOE M 481.1-1A Change 1	09/28/2001	Reimbursable Work For Non-Federal Sponsors Process Manual		
DOE O 481.1B	09/28/2001	Work for Others (Non-Department of Energy Funded Work)		
DOE O 484.1, Administrative Change 2	06/30/2014	Reimbursable Work for the Department of Homeland Security		
DOE O 522.1	11/03/2004	Pricing of Departmental Materials and Services		
DOE O 534.1B	01/06/2003	Accounting		
<b>DOE O 551.1D, Change 2</b>	<b>08/09/2016</b>	<b>Official Foreign Travel</b>		
DOE M 573.1-1	07/12/2000	Mail Services User's Manual		
DOE O 580.1A	03/30/2012	Department of Energy Personal Property Management Program		
DOE 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		

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<b>Work Smart Standards (WSS) Sets may be found at the following address: <a href="http://www.ora.gov/orise/wss.htm">http://www.ora.gov/orise/wss.htm</a></b>				
Required Compliance Document	Approval Date	Title	Change # Approval Date	Notes and Comments
WSS Set 40	06/18/2014	Oak Ridge Institute for Science and Education (ORISE) Work Smart Standards Set		

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.
- (3) This document is ES&H-related and appears on the current Work Smart Standards Set. In a WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.
- (4) The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.