

**Part III- List of Documents, Exhibits and Other Attachments**  
**Section J- List of Attachments**

**Attachment D.2- Baseline List of Required Compliance Documents**

**List B - List of Applicable Directives**

*See Mods. 0028 (1/31/2017); 0052 (6/14/2017); 0059 (7/25/2017); 0067 (9/21/2017); 0079 (12/20/2017); 0097 (4/11/2018); 0110 (7/11/2018); 0124 (10/15/2018); 0173 (10/20/2019)*

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<b>DOE Directives may be found at the following address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a></b>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 130.1	09/29/1995	Budget Formulation		
DOE O 142.3A, Change 1	01/18/2017	Unclassified Foreign Visitors and Assignments Program		
DOE O 150.1A	03/31/2014	Continuity Programs		
DOE O 151.1D	08/11/2016	Comprehensive Emergency Management System		
DOE O 153.1	06/27/2007	Departmental Radiological Emergency Response Assets		Footnote (4)
DOE O 200.1A Change 1	01/13/2017	Information Technology Management		
<b>DOE O 205.1C</b>	<b>03/29/2014</b>	<b>Department of Energy Cyber Security Program</b>		
<b>DOE O 206.1, Change 1</b>	<b>11/01/2018</b>	<b>Department of Energy Privacy Program</b>		
DOE O 206.2	02/19/2013	Identity, Credential, and Access Management (ICAM)		
DOE O 210.2A	04/08/2011	DOE Corporate Operating Experience Program		
DOE O 221.1B	09/27/2016	Reporting Fraud, Waste and Abuse to the Office of Inspector General		
DOE O 221.2A	02/25/2008	Cooperation with the Office of Inspector General		
DOE O 225.1B	03/04/2011	Accident Investigations		
DOE O 227.1A	12/21/2015	Independent Oversight Program		
DOE O 231.1B Administrative Change 1	11/28/2012	Environment, Safety and Health Reporting		
DOE O 232.2A	01/17/2017	Occurrence Reporting and Processing of Operations Information		
DOE O 241.1B Administrative Change 1	04/26/2015	Scientific and Technical Information Management		
DOE O 243.1B, Administrative Change 1	07/08/2013	Records Management Program		
Compliance Line: Implementation Plan, approved by DOE on 06/26/2012.				
DOE O 252.1A, Administrative Change 1	03/12/2013	Technical Standards Program		

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<b>DOE O 350.1, Change 6</b>	<b>02/01/2018</b>	<b>Contractor Human Resource Management Programs</b>		
DOE O 410.2, Administrative Change 1	04/10/2014	Management of Nuclear Materials		
DOE O 412.1A	05/21/2014	Work Authorization System		
DOE O 413.1B	10/28/2008	Internal Control Program		Footnote (4)
DOE O 413.3B Change 4	11/29/2010	Program and Project Management for the Acquisition of Capital Assets		
DOE O 414.1D Administrative 1	05/08/2013	Quality Assurance		ES&H-related Directive included in WSS. See Footnote (3).
<b>DOE O 420.1C, Change 2</b>	<b>07/26/2018</b>	<b>Facility Safety</b>		<b>ES&amp;H-related Directive included in WSS. See Footnote (3).</b>
DOE O 435.1, Change 1	08/28/2001	Radioactive Waste Management		ES&H-related Directive included in WSS. See Footnote (3).
DOE M 435.1-1, Administrative Change 2	06/08/2011	Radioactive Waste Management Manual		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 436.1	05/02/2011	Departmental Sustainability		
DOE O 440.2C, Administrative Change 1	06/22/2011	Aviation Management and Safety		ES&H-related Directive included in WSS. See Footnote (1).
<b>DOE O 442.1B</b>	<b>01/31/2019</b>	<b>Department of Energy Employee Concerns Program</b>		
DOE O 442.2, Change 1	10/04/2016	Differing Professional Opinions for Technical Issues Involving Environmental, Safety and Health Technical Concerns		
DOE O 443.1B Administrative Change 1	04/21/2016	Protection of Human Research Subjects		
<b>DOE P 450.4A, Change 1</b>	<b>01/18/2018</b>	<b>Integrated Safety Management Policy</b>		
DOE O 452.7	05/14/2010	Protection of Use Control Vulnerabilities and Designs		
DOE O 458.1, Administrative Change 3	01/15/2013	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (3).

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DOE O 460.1D	12/20/2016	Hazardous Materials Packaging and Transportation Safety		ES&H-related Directive included in WSS. See Footnote (1).
DOE O 460.2A	12/22/2004	Departmental Materials Transportation and Packaging Management		
DOE M 460.2-1A	06/04/2008	Radioactive Material Transportation Practices Manual for Use With DOE O 460.2A		Footnote (2).
DOE O 470.3C	11/23/2016	Design Basis Threat (DBT) Order		
DOE O 470.4B, Change 2	01/17/2017	Safeguards and Security Program		
DOE O 470.5	06/02/2014	Insider Threat Program		
DOE O 470.6, Change 1	01/11/2017	Technical Security Program		
DOE O 471.1B	03/01/2010	Identification and Protection of Unclassified Controlled Nuclear Information		
DOE M 471.3-1, Administrative Change 1	01/13/2011	Manual for Identifying and Protecting Official Use Only Information		
DOE O 471.3, Administrative Change 1	01/13/2011	Identifying and Protecting Official Use Only Information		
DOE O 471.6, Administrative Change 2	05/15/2015	Information Security		
DOE O 472.2, Change 1	07/09/2014	Personnel Security		
DOE O 473.3A	03/23/2016	Protection Program Operations		
DOE O 474.2, Change 4	09/13/2016	Nuclear Material Control and Accountability		
DOE O 475.1	12/10/2004	Counterintelligence Program		
DOE O 475.2B	10/03/2014	Identifying Classified Information		
DOE M 481.1-1A Change 1	09/28/2001	Reimbursable Work For Non-Federal Sponsors Process Manual		
DOE O 484.1, Administrative Change 2	06/30/2014	Reimbursable Work for the Department of Homeland Security		
<b>DOE O 486.1</b>	<b>06/07/2019</b>	<b>Department of Energy Foreign Government Talent Recruitment Programs</b>		
<b>DOE O 522.1A</b>	<b>08/02/2018</b>	<b>Pricing of Departmental Materials and Services</b>		
DOE O 534.1B	01/06/2003	Accounting		

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<b>DOE O 550.1</b>	<b>05/02/2019</b>	<b>Official Travel</b>		
DOE 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		

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<b>Work Smart Standards (WSS) Sets may be found at the following address: <a href="http://www.ornl.gov/orise/wss.htm">http://www.ornl.gov/orise/wss.htm</a></b>				
Required Compliance Document	Approval Date	Title	Change # Approval Date	Notes and Comments
WSS Set 41		Oak Ridge Institute for Science and Education (ORISE) Work Smart Standards Set		

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.
- (3) This document is ES&H-related and appears on the current Work Smart Standards Set. In a WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.
- (4) The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.